

TITLE

**A COMPOSITION FOR FABRICATING PHASE-CHANGE-MATERIAL
MICROCAPSULES AND A METHOD FOR FABRICATING THE
MICROCAPSULES**

5

BACKGROUND OF THE INVENTION

Field of Invention

The present invention relates to a composition for fabricating
10 phase-change-material microcapsules and a method for fabricating the
microcapsules. More particularly, the present invention relates to fabricate
phase-change-material microcapsules, which is used for fabric.

Description of Related Art

15 Heat storage (release) materials, namely phase-change materials
(PCMS), undergoes physical phase changes, e.g. solid phase to liquid phase or
liquid phase to solid phase, in a specific temperature range. Indeed, many
materials can be regarded as PCMS in a particular temperature range. For
example, in the temperature range of about 0°C, water-ice can be used as
20 PCMS.

Two factors need to be considered for choosing PCMS, including the
temperature range that PCMS is applicable and the amount (size) of latent heat
absorbed or released by PCMS during the phase change. Basically, PCMS
having the proper temperature range is selected based on the environmental
25 temperature requirements. Preferably, PCMS with larger latent heat changes

are used. Since larger latent heat changes allow more heat being absorbed/released during the phase change, PCMS can stay in the phase-change temperature range for a longer period.

During the heating process, the temperature of PCMS keeps rising until the melting point is reached. During the phase changing process, the temperatures of PCMS and the surrounding environment stay constant until the phase changing process is completed. If PCMS is further heated, the temperature of PCMS will go up.

If PCMS is cooled down to the phase-change crystallization temperature, latent heat will be released. As PCMS changes from liquid phase to solid phase, the temperature of PCMS keeps constant until the phase changing process is completed. After that, the temperature of PCMS keeps decreasing if it is further cooled down.

In general, PCMS changes between liquid phase and solid phase in real applications. PCMS needs to be wrapped by a covering layer to prevent loss, especially PCMS in liquid phase. Therefore, a recent technology has been developed to wrap PCMS with microcapsules, in order not to lose liquid-phase PCMS.

A method for fabricating the microcapsules comprises a chemical synthetic method, a physical chemical synthetic method and a physical mechanical synthetic method. The chemical synthetic method comprises interfacial condensation polymerization method, *in-situ* polymerization method and shape-hole condensed bath method. The interfacial condensation polymerization method has several advantages, such as fast reaction rate, mild reaction condition, loose requirement of the purity of the starting material, and

high tolerance of ratio of the starting material in the composition. Therefore, interests in the field are always preferred to use interfacial condensation polymerization method. Typically, material of shells of the microcapsules is polymer.

5 An oil phase and a water phase are used in the interfacial condensation polymerization method. A solvent used in the water phase is water and a solvent used in the oil phase comprises dichloromethane, chloroform, trichloroethane, tetrachlorodifluoroethane, carbon tetrachloride, benzene, toluene, xylene, carbon disulfide, pentane, cyclohexane, mineral oil and a
10 combination thereof. The phase-change-material and a lipophilic monomer for forming the shell of the microcapsule are solved in the oil phase. At least one hydrophilic monomer for forming the shell of the microcapsule is solved in the water phase. Additionally, a surfactant is included in the water phase.

The surfactant is a very important in the interfacial condensation
15 polymerization method. Micelles are formed by the surfactant surrounding the oil phase and are brought into the water phase through the surfactant. A polymerization reaction occurs on the interface of the micelles. The shell of the microcapsule is formed by the polymerization of the lipophilic monomer and the hydrophilic monomer on the interface of the micelle. The shell encloses the
20 phase-change-material solved in the micelle and the phase-change-material microcapsule is formed. The surfactant used in the interfacial condensation polymerization method comprises polyethylene alcohol, gluten, methyl cellulose or other surfactants.

Typically, the phase-change-material used in the prior interfacial
25 condensation polymerization method is non-polar or low polarity compound,

such as alkyl alkane or aryl alkane. The phase-change-material and the lipophilic monomer are hard to become a homogeneous phase, because the lipophilic monomer, such as phenylethene, isocyanate salt, is a polar or high polarity compound. Therefore, at least one organic solvent has to be added in the oil phase to form a homogeneous oil phase.

The disadvantage of using organic solvent is, the organic solvent could be remained inside the microcapsule. The remained organic solvent inside the microcapsule affects the thermal property of the microcapsule and the designed phase change temperature range altered. In prior interfacial condensation polymerization method for fabricating the microcapsule, a heating process is used to remove the organic solvent but there is still residue left inside the microcapsule. Additionally, the fabricated microcapsules by prior interfacial condensation polymerization method are dispersed in organic solvent because the lipophilic shell. The fabric coating solution with microcapsules suspended inside is aqueous solution, therefore the organic solvent has to be removed. In the solvent removing process, high temperature could damage the polymer shell of the microcapsule. This detriment causes the microcapsule breaking in latter processes and the phase-change-material escapes.

SUMMARY OF THE INVENTION

From the analyses of the disadvantage of the prior interfacial condensation polymerization method, it is very clear that the key point of solving these problems is at the organic solvent. If a new interfacial condensation polymerization method without using organic solvent is provided, all problems

described above are solved. If removing the organic solvent from the prior interfacial condensation polymerization method only, the method cannot work anymore because the lipophilic monomer can't be solved in the phase-change-material.

5 It is therefore an objective of the present invention to provide a composition used in an interfacial condensation polymerization method for fabricating phase-change-material microcapsules, in which the organic solvent is not necessary.

10 It is another an objective of the present invention to provide a composition used in an interfacial condensation polymerization method for fabricating phase-change-material microcapsules, in which microcapsules with hydrophilic shell are fabricated and the microcapsules are dispersed in water phase.

15 It is still another an objective of the present invention to provide a composition used in an interfacial condensation polymerization method for fabricating phase-change-material microcapsules, in which a polar phase-change-material is used as oil phase to solve the lipophilic monomer, therefore the organic solvent could be excluded from this composition.

20 It is still the fourth objective of the present invention to provide a composition used in an interfacial condensation polymerization method for fabricating phase-change-material microcapsules, in which the surfactant is not necessary in the composition.

25 In accordance with the foregoing and other objectives of the present invention, a composition used in interfacial condensation polymerization method for fabricating phase-change-material microcapsules comprises two different

phases, water phase and oil phase. The solvent in the water phase is water, in which at least comprises waterborne polyurethane, the waterborne polyurethane is selected from a group consisting waterborne polyurethane, 2,2-bis (hydroxymethyl) propionic acid triethylamine salt, diamine containing sulfonate salt and a combination thereof. A weight percentage concentration of waterborne polyurethane in the water phase is 5% to 40 %. A preferred weight percentage concentration of waterborne polyurethane aqueous solution is between about 15% and 35%.

The oil phase at least comprises phase-change-material, lipophilic monomer and solid wax. The phase-change-material is an organic compound with polarity, such as carboxylic ester. The carboxylic ester with higher polarity than hydrocarbon compound can solves more lipophilic monomer. A carboxylate of the carboxylic ester is selected from a group formate, acetate and propionate and carbon numbers of an alkoxy of the carboxylic ester is between 10 and 18. The phase change temperature of the carboxylic ester is between about minus 20 degree Celsius and 40 degree Celsius. Understandably, longer alkoxy chain is adapted to be used at higher temperature, such as, the carboxylic ester with 20 carbons to 28 carbons alkoxy group is adapted to be used between about 45 degree Celsius and 80 degree Celsius.

The lipophilic monomer and the waterbone polyurethane polymerize to form the shell of the microcapsules in the interfacial condensation polymerization process. The lipophilic monomer is melamine or isocyanate salt. The lipophilic monomer solves in the phase change material and the weight percentage is between about 3% and 12%, and preferred weight percentage of

the lipophilic monomer basing on the phase change material is between about 5% and 10%. In the meanwhile, the weight ration of lipophilic monomer and waterborne polyurethane is between about 25% and 50%, and preferred weight ration is between about 30% and 45%. The phase-change-material and the solid wax are covered by hydrophilic shell and the microcapsules are fabricated. The melting point of the solid wax is very high, the phase of the solid wax dose not change in an operation temperature range of the microcapsules, therefore, the solid wax is used as seed when the phase-change-material changes from liquid to solid.

The water phase and the oil phase are added in a reactor. A homogenizer, such as a mechanical stir, is used to perform an emulsification process. A stirring speed of the mechanical stir is between about 4000 rpm and 9000 rpm and the stirring process keeps for 2 minutes to 5 minutes. A heating process is performed after the emulsification process finish. The heating process is a kind of at least two stages elevating temperature process, at each stage, the temperature is kept for about 1 hour to 5 hours and the temperature range used in the process is between about 20 degree Celsius and 90 degree Celsius. In the heating process, for example, a first temperature between about 20 degree Celsius and 40 degree Celsius maintaining for about 2 hours to 5 hours is provided first. Secondly, the temperature is elevated to a second temperature, which is between about 40 degree Celsius and 60 degree Celsius. The second temperature is kept for about 1 hours to 3 hours. Then, the temperature is elevated to a third temperature, which is between about 60 degree Celsius and 90 degree Celsius. The third temperature is kept for about

30 minutes to 2 hours. The total time for the heating process is between about 3.5 hours and 10 hours and the microcapsules are formed.

The waterborne polyurethane used in the interfacial condensation polymerization method provided in the present invention is not only a monomer for polymerization process but is used as a surfactant. Micelles are formed by the waterborne polyurethane surrounding the oil phase and are brought into the water phase through the waterborne polyurethane. A polymerization reaction occurs on the interface of the micelles. The shell of the microcapsule is formed by the polymerization of the waterborne polyurethane and the hydrophilic monomer on the interface of the micelle. The shell encloses the phase-change-material solved in the micelle and the phase-change-material microcapsule is formed and the phase-change-material microcapsule is formed. Therefore, the surfactant in the interfacial condensation polymerization method provided in the present invention is not necessary.

Although the organic solvent can be excluded in the interfacial condensation polymerization method provided in the present invention, but organic solvent still can be used in the method because the microcapsules with hydrophilic shell disperse in the water phase. The residual organic solvent can be separated from the water phase by distilling under reduced pressure. In the composition with organic solvent of the present invention, the surfactant is still not necessary.

These and other features, aspects, and advantages of the present invention will become better understood with reference to the following description and appended claims.

It is to be understood that both the foregoing general description and the following detailed description are by examples, and are intended to provide further explanation of the invention as claimed.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

The composition for fabricating phase-change-material microcapsules and a method for fabricating the microcapsules of the present invention can be more fully understood by reading the following detailed description of the preferred examples as follows:

Example 1

A composition with waterborne polyurethane 69 grams, water 300 grams, isocyanurate of 1,6-hexamethylene diisocyanate 11 grams, hexadecanyl formate 207 grams and solid wax 11 grams is put in a reactor, in which a water phase comprises waterborne polyurethane and water. The oil phase comprises isocyanurate, hexadecanyl formate and solid wax.

A homogenizer stirs the composition at 7000 rpm for 3 minutes. After the stirring process, the temperature of the composition is elevated to 40 degrees Celsius and the temperature is kept for 1 hour. Thereafter, the temperature of the composition is not elevated at a rate of 10 degrees per hour until the temperature is 90 degrees Celsius. The temperature, 90 degrees Celsius is kept for 1 hour. Finally, natriumdodecylsulfate 7.7 grams, is added to the composition. The natriumdodecylsulfate is a stabilizer and a aqueous solution with 30% solid contained is obtained, in which the particle size of the

microcapsules is between about 1 micrometer and 2 micrometer and the phase change temperature is about at 28 degrees Celsius.

Example 2

5 A composition with waterborne polyurethane 91 grams, water 300 grams, isocyanurate of 1,6-hexamethylene diisocyanate 15 grams, octadecanyl acetate 195 grams and solid wax 10 grams is put in a reactor, in which a water phase comprises waterborne polyurethane and water. The oil phase comprises isocyanurate, octadecanyl acetate and solid wax.

10 A homogenizer stirs the composition at 6500 rpm for 3 minutes. After the stirring process, the temperature of the composition is elevated to 60 degrees Celsius and the temperature is kept for 3 hour. Thereafter, the temperature of the composition is elevated to 80 degrees Celsius. The temperature, 80 degrees Celsius is kept for 3 hour. Finally, natriumdodecylsulfate 4 grams, is
15 added to the composition. The natriumdodecylsulfate is a stabilizer and a aqueous solution with 40% solid contained is obtained, in which the particle size of the microcapsules are between about 1.5 micrometer and 2.5 micrometer and the phase change temperature is about at 30 degrees Celsius.

Example 3

20 A composition with waterborne polyurethane 115 grams, water 300 grams, isocyanurate of 1,6-hexamethylene diisocyanate 18 grams, hexadecanyl acetate 182 grams and solid wax 10 grams is put in a reactor, in which a water phase comprises waterborne polyurethane and water. The oil phase comprises
25 isocyanurate, hexadecanyl acetate and solid wax.

A homogenizer stirs the composition at 5000 rpm for 4 minutes. After the stirring process, the temperature of the composition is elevated to 40 degrees Celsius and the temperature is kept for 3 hour. Thereafter, the temperature of the composition is elevated to 60 degrees Celsius and the temperature is kept for 2 hour. The temperature is then elevated to 80 degrees Celsius and the temperature is kept for 1 hour. Finally, natriumdodecylsulfate 6.4 grams, is added to the composition. The natriumdodecylsulfate is a stabilizer and a aqueous solution with 45% solid contained is obtained, in which the particle size of the microcapsules are between about 2 micrometer and 3.5 micrometer and the phase change temperature is about at 24 degrees Celsius.

Example 4

A composition with waterborne polyurethane 83 grams, water 300 grams, isocyanurate of 1,6-hexamethylene diisocyanate 13 grams, octadecanyl acetate 100 grams, hexadecanyl acetate 100 grams and solid wax 10 grams is put in a reactor, in which a water phase comprises waterborne polyurethane and water. The oil phase comprises isocyanurate, octadecanyl acetate, hexadecanyl acetate and solid wax.

A homogenizer stirs the composition at 6000 rpm for 3 minutes. After the stirring process, the temperature of the composition is elevated to 45 degrees Celsius and the temperature is kept for 3 hour. Thereafter, the temperature of the composition is elevated to 65 degrees Celsius and the temperature is kept for 2 hour. The temperature is then elevated to 85 degrees Celsius and the temperature is kept for 1 hour. Finally, natriumdodecylsulfate 6 grams, is added to the composition. The natriumdodecylsulfate is a stabilizer and a aqueous

solution with 35% solid contained is obtained, in which the particle size of the microcapsules are between about 1.5 micrometer and 2.5 micrometer and the phase change temperature is about at 28 degrees Celsius.

5 **Example 5**

A composition with waterborne polyurethane 124 grams, water 300 grams, isocyanurate of 1,6-hexamethylene diisocyanate 20 grams, octadecanyl acetate 89 grams, octadecanyl propionate 89 grams and solid wax 9 grams is put in a reactor, in which a water phase comprises waterborne polyurethane and water. The oil phase comprises isocyanurate, octadecanyl acetate,
10 octadecanyl propionate and solid wax.

A homogenizer stirs the composition at 7500 rpm for 2.5 minutes. After the stirring process, the temperature of the composition is elevated to 45 degrees Celsius and the temperature is kept for 3 hour. Thereafter, the
15 temperature of the composition is elevated to 60 degrees Celsius and the temperature is kept for 1 hour. Thereafter, the temperature is elevated to 75 degrees Celsius and the temperature is kept for 1 hour. The temperature is then elevated to 90 degrees Celsius and the temperature is kept for 1 hour. Finally, natriumdodecylsulfate 6.4 grams, is added to the composition. The
20 natriumdodecylsulfate is a stabilizer and a aqueous solution with 45% solid contained is obtained, in which the particle size of the microcapsules are between about 0.5 micrometer and 1.5 micrometer and the phase change temperature is about at 29 degrees Celsius.

Example 6

A composition with waterborne polyurethane 110 grams, water 300 grams, isocyanurate of 1,6-hexamethylene diisocyanate 16 grams, octadecanyl propionate 185 grams and solid wax 10 grams is put in a reactor, in which a
5 water phase comprises waterborne polyurethane and water. The oil phase comprises isocyanurate, octadecanyl propionate and solid wax.

A homogenizer stirs the composition at 8000 rpm for 2 minutes. After the stirring process, the temperature of the composition is elevated to 40 degrees Celsius and the temperature is kept for 1 hour. Thereafter, the temperature of
10 the composition is not elevated at a rate of 10 degrees per hour until the temperature is 90 degrees Celsius. The temperature, 90 degrees Celsius is kept for 1 hour. Finally, sorbitan monooleate 3 grams, is added to the composition. The sorbitan monooleate is a stabilizer and a aqueous solution with 45% solid contained is obtained, in which the particle size of the
15 microcapsules are between about 0.5 micrometer and 1.5 micrometer and the phase change temperature is about at 27 degrees Celsius.

Example 7

A composition with waterborne polyurethane 85 grams, water 300 grams,
20 isocyanurate of 1,6-hexamethylene diisocyanate 13 grams, decanyl acetate 200 grams and solid wax 11 grams is put in a reactor, in which a water phase comprises waterborne polyurethane and water. The oil phase comprises isocyanurate, decanyl acetate and solid wax.

A homogenizer stirs the composition at 6000 rpm for 3 minutes. After the
25 stirring process, the temperature of the composition is elevated to 45 degrees

Celsius and the temperature is kept for 3 hour. Thereafter, the temperature of the composition is elevated to 65 degrees Celsius and the temperature is kept for 2 hour. The temperature is then elevated to 85 degrees Celsius and the temperature is kept for 1 hour. Finally, natriumdodecylsulfate 6 grams, is added
5 to the composition. The natriumdodecylsulfate is a stabilizer and a aqueous solution with 38% solid contained is obtained, in which the particle size of the microcapsules are between about 1.5 micrometer and 2.5 micrometer and the phase change temperature is about at -13 degrees Celsius.

The examples disclosed above illuminate that using the composition for
10 fabricating phase-change-material microcapsules and the method for fabricating the microcapsules provided in the present invention can fabricate hydrophilic microcapsules, in which the phase-change-material comprising carboxylic ester with formate, acetate and propionate enclosed by shell made of waterborne polyurethane and the carbon atom number of an alkoxy of the carboxylic ester
15 is between 10 and 18. The organic solvent is not necessary because the polar phase-change-material can solve the lipophilic monomer and the hydrophilic monomer or pre-polymer has the function of the surfactant, the surfactant is excluded from the composition of the present invention. The microcapsules produced by the composition and method provided in the present invention has
20 hydrophilic shell, therefore, the microcapsule is dispersed in the water phase and the heating process, which damages the microcapsules for removing the solvent is avoided.

Two examples disclosed below is that the organic solvent is added to the composition of the present invention. The examples illuminate that the
25 microcapsules can be fabricated while the composition includes organic solvent

and the hydrophilic monomer or pre-polymer still can be used as a surfactant. The outstanding potency of the waterborne polyurethane is more obvious.

Example 8

5 A composition with waterborne polyurethane 48 grams, water 300 grams, isocyanurate of 1,6-hexamethylene diisocyanate 7 grams, ethyl acetate 120 grams, octadecanyl formate 217 grams and solid wax 17 grams is put in a reactor, in which a water phase comprises waterborne polyurethane and water. The oil phase comprises isocyanurate, ethyl acetate, octadecanyl formate and
10 solid wax.

 A homogenizer stirs the composition at 7000 rpm for 3 minutes. After the stirring process, the temperature of the composition is elevated to 40 degrees Celsius and the temperature is kept for 3 hour. Thereafter, the temperature of the composition is elevated to 60 degrees Celsius and the temperature is kept
15 for 2 hour. The temperature is then elevated to 80 degrees Celsius and the temperature is kept for 1 hour. Finally, natriumdodecylsulfate 2.6 grams, is added to the composition. The natriumdodecylsulfate is a stabilizer and a aqueous solution with 20% solid contained is obtained, in which the particle size of the microcapsules are between about 1 micrometer and 2 micrometer and
20 the phase change temperature is about at 37 degrees Celsius.

Example 9

 A composition with waterborne polyurethane 143 grams, water 300 grams, isocyanurate of 1,6-hexamethylene diisocyanate 21 grams, ethyl acetate
25 120 grams, octadecanyl acetate 169 grams and solid wax 13 grams is put in a

reactor, in which a water phase comprises waterborne polyurethane and water. The oil phase comprises isocyanurate, ethyl acetate, octadecanyl acetate and solid wax.

A homogenizer stirs the composition at 6500 rpm for 3 minutes. After the stirring process, the temperature of the composition is elevated to 60 degrees Celsius and the temperature is kept for 3 hour. Thereafter, the temperature of the composition is elevated to 80 degrees Celsius and the temperature is kept for 3 hour. Finally, natriumdodecylsulfate 5 grams, is added to the composition. The natriumdodecylsulfate is a stabilizer and a aqueous solution with 25% solid contained id obtained, in which the particle size of the microcapsules are between about 1.5 micrometer and 2.5 micrometer and the phase change temperature is about at 30 degrees Celsius.

Although the present invention has been described in considerable detail with reference to certain preferred embodiments thereof, other embodiments are possible. For example, any material used to form a hydrophilic shell of the microcapsule and using any polar organic compound as phase-change-material solving the lipophilic monomer to exclude using the organic solvent. Therefore, their spirit and scope of the appended claims should no be limited to the description of the preferred embodiments contained herein.

It will be apparent to those skilled in the art that various modifications and variations can be made to the structure of the present invention without departing from the scope or spirit of the invention. In view of the foregoing, it is intended that the present invention cover modifications and variations of this invention provided they fall within the scope of the following claims and their equivalents.